

Arabic Numbers in Homotopy Type Theory

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This short document is a complement to my Science4All article on univalence. We will define formally the type \mathbf{N} of numbers written in the Arabic number system and the addition \mathbf{add} of these numbers.

The goal of this document is primarily to get the reader and myself (but mostly myself) familiar with homotopy type-theoretical constructions. It is definitely sketchy and has no aim at being perfectly rigorous nor pedagogical. My original goal included proving the isomorphism $(\mathbf{N}, +) \simeq (\mathbf{N}, \mathbf{add})$, but the mere constructions are so lengthy that I have given up.

1 Digits and Lists

We first define the type \mathbf{Digit} , with the ten constructors for each digit:

- $0, 1, 2, 3, 4, 5, 6, 7, 8, 9 : \mathbf{Digit}$.

The obvious induction principle of that type requires values $f(0) : B(0), \dots, f(9) : B(9)$ to determine an outgoing function $f : \prod_{d:\mathbf{Digit}} B(d)$. We use this induction principle to define $\mathbf{unitOfSum} : \mathbf{Digit} \rightarrow \mathbf{Digit} \rightarrow \mathbf{Digit}$ which computes the unit of the sum, and $\mathbf{carryOver} : \mathbf{Digit} \rightarrow \mathbf{Digit} \rightarrow \mathbf{Digit}$ the tens of the sum.

Lemma 1. *We have $\mathbf{unitOfSum}(d, 0) = \mathbf{unitOfSum}(0, d) = d$ and $\mathbf{carryOver}(d, 0) = \mathbf{carryOver}(0, d) = 0$ for all $d : \mathbf{Digit}$. Also, both functions are commutative.*

Proof. By construction, and using \mathbf{refl}_d and \mathbf{refl}_0 . □

We then define the type $\mathbf{List} : \mathcal{U} \rightarrow \mathcal{U}, A \mapsto \mathbf{List}(A)$ with constructors

- $\emptyset : \mathbf{List}(A)$.
- $\mathbf{addLast} : \mathbf{List}(A) \rightarrow A \rightarrow \mathbf{List}(A)$.

To construct an outgoing function $f : \prod_{x:\mathbf{List}(A)} B(x)$, the induction principle of $\mathbf{List}(A)$ requires $f(\emptyset) : B(\emptyset)$ and $f_{\mathbf{addLast}} : \prod_{x:\mathbf{List}(A)} \prod_{a:A} B(x) \rightarrow B(\mathbf{addLast}(a, x))$. When $B(x)$ does not depend on $x : \mathbf{List}(A)$, we obtain the recursion principle which constructs $f : \mathbf{List}(A) \rightarrow B$ from $f(\emptyset) : B$ and $f_{\mathbf{addLast}} : B \rightarrow A \rightarrow B$.

We use this recursion principle to define $\mathbf{addFirst} : A \rightarrow \mathbf{List}(A) \rightarrow \mathbf{List}(A)$ by $\mathbf{addFirst}(a, \emptyset) := \mathbf{addLast}(a, \emptyset)$ and $\mathbf{addFirst}(a, \mathbf{addLast}(x, b)) := \mathbf{addLast}(\mathbf{addFirst}(a, x), b)$.

Arabic numbers are represented by lists of digit. Thus, we are here interested in the type $\mathbf{List}(\mathbf{Digit})$. In this case, the second constructor constructs a new digit list from a digit list $t : \mathbf{List}(\mathbf{Digit})$ representing the tens and digit $u : \mathbf{Digit}$ representing the unit.

2 Addition of Digit Lists

To define the addition $\mathbf{addDigitList} : \mathbf{List}(\mathbf{Digit}) \rightarrow \mathbf{List}(\mathbf{Digit}) \rightarrow \mathbf{List}(\mathbf{Digit})$ of digit lists, we first define the addition with carry over $h : \mathbf{List}(\mathbf{Digit}) \rightarrow \mathbf{List}(\mathbf{Digit}) \rightarrow \mathbf{Digit} \rightarrow \mathbf{List}(\mathbf{Digit})$, where the third digit input is the carry over we shall denote c .

- $h(\emptyset, \emptyset, c) \equiv \text{addLast}(\emptyset, c)$.
- $h(\text{addLast}(t, u), \emptyset, c) \equiv \text{addLast}(h(t, \emptyset, \text{carryOver}(u, c)), \text{unitOfSum}(u, c))$.
- $h(\emptyset, \text{addLast}(t, u), c) \equiv \text{addLast}(h(\emptyset, t, \text{carryOver}(u, c)), \text{unitOfSum}(u, c))$.
- Finally, the last case is the computation of $h(\text{addLast}(t_1, u_1), \text{addLast}(t_2, u_2), c)$, which is slightly trickier. We first determine the unit of the addition $U(u_1, u_2, c) \equiv \text{unitOfSum}(\text{unitOfSum}(u_1, u_2), c)$. Then, we compute the carry over $C(u_1, u_2, c) \equiv \text{unitOfSum}(\text{carryOver}(u_1, u_2), \text{carryOver}(\text{unitOfSum}(u_1, u_2), c))$. Finally, we combine it all, yielding

$$h(\text{addLast}(t_1, u_1), \text{addLast}(t_2, u_2), c) \equiv \text{addLast}(h(t_1, t_2, C(u_1, u_2, c)), U(u_1, u_2, c)). \quad (1)$$

Lemma 2. C and U are commutative, i.e. the order of the inputs does not matter.

Proof. By explicit induction. □

Lemma 3. $C(0, d, c) = C(d, 0, c) = \text{carryOver}(d, c)$ and $U(0, d, c) = U(d, 0, c) = \text{unitOfSum}(d, c)$.

Proof. Using Lemma 1 □

Lemma 4. For any $l_1, l_2 : \text{List}(\text{Digit})$ and any $d : \text{Digit}$, we have $h(l_1, l_2, d) = h(l_2, l_1, d)$.

Proof. The proof boils down to the construction of a function

$$f : \prod_{d:\text{Digit}} \prod_{l_1:\text{List}(\text{Digit})} \prod_{l_2:\text{List}(\text{Digit})} h(l_1, l_2, d) = h(l_2, l_1, d). \quad (2)$$

We do it by induction on l_1 and l_2 , accordingly to the four bullet points defining h . The first bullet point is straightforward, using the immediate proof $\text{refl}_{\text{addLast}(\emptyset, d)}$. The two following bullet points are not much harder, using a proof of $h(t, \emptyset, c) = h(\emptyset, t, c)$ by induction.

Finally, in the last case, we need to use the commutativity of unitOfSum and of carryOver we proved in Lemma 1. This shows that u and c are equal in both constructions of $h(l_1, l_2, d)$ and $h(l_2, l_1, d)$. Finally, by action on path, and using the proof $h(t_1, t_2, c) = h(t_2, t_1, c)$ obtained by induction, we obtain a proof $h(l_1, l_2, c) = h(l_2, l_1, c)$. □

We then define $\text{add}_{\text{list}}(l_1, l_2) \equiv h(l_1, l_2, 0)$, where $0 : \text{Digit}$ is the zero digit.

Theorem 1. The addition add_{list} is commutative and \emptyset is a neutral element.

Proof. Let us start with the proof that \emptyset is a neutral element. We need to construct a function

$$f : \prod_{l:\text{List}(\text{Digit})} (\text{add}_{\text{list}}(l, \emptyset) = l) \times (\text{add}_{\text{list}}(\emptyset, l) = l). \quad (3)$$

By induction, if $l \equiv \emptyset$, then $\text{add}_{\text{list}}(l, \emptyset) \equiv \emptyset \equiv l$, and, similarly $\text{add}_{\text{list}}(\emptyset, l) \equiv l$. So, we may provide the proof $f(\emptyset) \equiv (\text{refl}_{\emptyset}, \text{refl}_{\emptyset})$. Now, if $l \equiv \text{addLast}(t, u)$, then

$$\text{add}_{\text{list}}(l, \emptyset) \equiv \text{addLast}(h(t, \emptyset, \text{carryOver}(u, 0)), \text{unitOfSum}(u, 0)) \quad (\text{induction}) \quad (4)$$

$$\equiv \text{addLast}(\text{add}_{\text{list}}(t, \emptyset), u) \quad (\text{Lemma 1}) \quad (5)$$

Yet, by induction we may assume $\text{pr}_1(f(t)) : \text{add}_{\text{list}}(t, \emptyset) = t$, hence we may construct a proof $f_1(l) \equiv \text{ap}_{\text{add}_{\text{list}}(-, u)}(\text{pr}_1(f(t)))$ of $\text{add}_{\text{list}}(l, \emptyset) = l$. Similarly, we may construct $f_2(l) : \text{add}_{\text{list}}(\emptyset, l) = l$, hence obtaining $f(l) \equiv (f_1(l), f_2(l))$. This concludes the construction of f , and, hence, the proof that \emptyset is a neutral element.

Proving the commutativity of the addition add_{list} corresponds to constructing a function

$$g : \prod_{l_1:\text{List}(\text{Digit})} \prod_{l_2:\text{List}(\text{Digit})} (\text{add}_{\text{list}}(l_1, l_2) = \text{add}_{\text{list}}(l_2, l_1)). \quad (6)$$

We do it by induction on l_1 . If $l_1 \equiv \emptyset$, then f can be used to prove that both sides equal l_2 , and they are hence equal. Formally, this corresponds to defining $g(\text{emptyset}, l_2) := \text{pr}_2(f(l_2)) \cdot \text{pr}_1(f(l_2))^{-1}$. Then, we assume $l_1 \equiv \text{addLast}(t, u)$ and that we have constructed $g(t, l_2)$ for all $l_2 : \text{List}(\text{Digit})$. Using Lemma 1, it is easy to see that we have proofs that the units and the carry overs of the additions $\text{add}_{\text{list}}(l_1, l_2)$ and $\text{add}_{\text{list}}(l_2, l_1)$ are equal. \square

3 Arabic Numbers

Now, as we have all learned it, two strings of digits may represent the same number. For instance “01 = 1”. We formalize that by the fact that digit lists still need to be interpreted into numbers. This leads us to define the type \mathbf{N} of numbers in the Arabic number system by

- $n : \text{List}(\text{Digit}) \rightarrow \mathbf{N}$.
- For all $l : \text{List}(\text{Digit})$, a path $p(l) : n(l) = n(\text{addFirst}(0, l))$.

Lemma 5. *For any $l_1, l_2 : \text{List}(\text{Digit})$ and any $d : \text{Digit}$, we have $n(h(\text{addFirst}(0, l_1), l_2, d)) = n(h(l_1, l_2, d))$.*

Proof. We do it by induction on l_1 and l_2 . We have four cases to verify, which correspond to the four bullet points of the definition of h .

First case. If $l_1 \equiv \emptyset$, we have $\text{addFirst}(0, l_1) \equiv \text{addLast}(\emptyset, 0)$. If $l_2 \equiv \emptyset$, using the second bullet point definition of h , we have

$$h(\text{addFirst}(0, l_1), l_2, d) \equiv \text{addLast}(h(\emptyset, \emptyset, \text{carryOver}(0, d)), \text{unitOfSum}(0, d)) \quad (7)$$

$$\equiv \text{addLast}(\text{addLast}(\emptyset, 0), \text{addLast}(\emptyset, d)) \quad (8)$$

$$\equiv \text{addFirst}(0, \text{addLast}(\emptyset, d)). \quad (9)$$

This is the digit list “0d”. Yet, $h(l_1, l_2, d) \equiv \text{addLast}(\emptyset, d)$, which is “d”. But the path constructor of \mathbf{N} yields a path $p(\text{addLast}(\emptyset, d)) : \text{addLast}(\emptyset, d) = n(\text{addFirst}(0, \text{addLast}(\emptyset, d)))$.

Second case. Now, if $l_1 \equiv \emptyset$ and $l_2 \equiv \text{addLast}(t_2, u_2)$, then we may use a proof of that the lemma holds for \emptyset, t_2 and d . But then,

$$h(\text{addFirst}(0, l_1), l_2, d) \equiv h(\text{addLast}(\emptyset, 0), \text{addLast}(t_2, u_2), d) \quad (10)$$

$$\equiv \text{addLast}(h(\emptyset, t_2, C(0, u_2, d)), U(0, u_2, d)) \quad (11)$$

$$= \text{addLast}(h(\emptyset, t_2, \text{carryOver}(u_2, d)), \text{unitOfSum}(u_2, d)), \quad (12)$$

by using Lemma 3. Yet, this last expression is precisely $h(l_1, l_2, d)$, which proves the second case.

Third case. If $l_1 \equiv \text{addLast}(t_1, u_1)$ and $l_2 \equiv \emptyset$, then we may assume by induction that the lemma holds for t_1, \emptyset and c . But then,

$$h(\text{addFirst}(0, l_1), l_2, d) \equiv \text{addLast}(h(\text{addFirst}(0, t_1), \emptyset, \text{carryOver}(u_1, d)), \text{unitOfSum}(u_1, d)) \quad (13)$$

$$= \text{addLast}(h(t_1, \emptyset, \text{carryOver}(u_1, d)), \text{unitOfSum}(u_1, d)), \quad (14)$$

which is exactly the expression for l_1, l_2 and d , and proves the Lemma for this case too.

Fourth case. Finally, assume $l_1 \equiv \text{addLast}(t_1, u_1)$ and $l_2 \equiv \text{addLast}(t_2, u_2)$, and, by induction, that the lemma holds for t_1, t_2 and c . Then,

$$h(\text{addFirst}(0, l_1), l_2, d) \equiv \text{addLast}(h(\text{addFirst}(0, t_1), t_2, C(u_1, u_2, d)), U(u_1, u_2, d)) \quad (15)$$

$$= \text{addLast}(h(t_1, t_2, C(u_1, u_2, d)), U(u_1, u_2, d)), \quad (16)$$

which is exactly the right expression to conclude the proof. \square

To construct $f : \prod_{x:\mathbf{N}} B(x)$, the induction principle requires a function $f_{\text{list}} : \prod_{l:\text{List}(\text{Digit})} B(n(l))$ and a path $f_{\text{path}} : \prod_{l:\text{List}(\text{Digit})} f(l) \underset{p(l)}{=}^B f(\text{addFirst}(0, l))$. Let us apply it to define $\text{add} : \mathbf{N} \rightarrow \mathbf{N} \rightarrow \mathbf{N}$:

- $\text{add}(n(l_1), n(l_2)) := n(\text{addDigitList}(l_1, l_2))$.
- We now need to prove that we have the identities $\text{add}(n(l_1), n(l_2)) = \text{add}(n(\text{addFirst}(0, l_1)), n(l_2))$ and $\text{add}(n(l_1), n(l_2)) = \text{add}(n(l_1), n(\text{addFirst}(0, l_2)))$ for all $l_1, l_2 : \text{List}(\text{Digit})$. But this is given by Lemma 5, using $d \equiv 0$ and the commutativity of h proven by Lemma 4.

4 Isomorphism

We define $f : \mathbb{N} \rightarrow \mathbb{N}$ by $f(0) := \text{addLast}(\emptyset, 0)$ and $f(\text{succ}(n)) := \text{add}(f(n), \text{addLast}(\emptyset, 1))$.

Reciprocally, we need to define multiplication on \mathbb{N} . We do it by induction, with $0 \times m = 0$ and $\text{succ}(n) \times m = (n \times m) + m$. Then, we define $\text{digitToN} : \text{Digit} \rightarrow \mathbb{N}$ by $\text{digitToN}(0) := 0$, $\text{digitToN}(1) := \text{succ}(0)$, $\text{digitToN}(2) := \text{succ}(\text{succ}(0))$, ... and so on until 9. Next, we define $\text{digitListToN} : \text{List}(\text{Digit}) \rightarrow \mathbb{N}$ by induction by $\text{digitListToN}(\emptyset) := 0$ and

$$\text{digitListToN}(\text{addLast}(t, u)) := \text{digitListToN}(u) + (\text{digitListToN}(t) \times (\text{succ}(\text{digitToN}(9)))). \quad (17)$$

Now, to define $g : \mathbb{N} \rightarrow \mathbb{N}$, we first need to prove the following lemma:

Lemma 6. *For any $l : \text{List}(\text{Digit})$, we have $\text{digitListToN}(\text{addFirst}(0, l)) = \text{digitListToN}(l)$.*

Proof. By induction on l . If $l \equiv \emptyset$, then

$$\text{digitListToN}(\text{addFirst}(0, l)) \equiv \text{digitListToN}(\text{addLast}(\emptyset, 0)) \equiv 0 + (0 \times 10) \equiv 0 \equiv \text{digitListToN}(l). \quad (18)$$

Now, if $l \equiv \text{addLast}(t, u)$, then we may assume by induction that the Lemma holds for t . But then, by action on path,

$$\text{digitListToN}(\text{addFirst}(0, l)) \equiv \text{digitListToN}(\text{addLast}(\text{addFirst}(0, t), u)) \quad (19)$$

$$= \text{digitListToN}(\text{addLast}(t, u)) \quad (20)$$

$$\equiv \text{digitListToN}(l), \quad (21)$$

which concludes the proof. □

Let us $p_g(l)$ the proof we constructed. Then, we may finally define $g : \mathbb{N} \rightarrow \mathbb{N}$ by $g(n(l)) := \text{digitListToN}(l)$ and the proofs $p_g(l) : g(n(l)) = g(n(\text{addFirst}(0, l)))$.

To prove the isomorphism of $(\mathbb{N}, +)$ and (\mathbb{N}, add) , all we have left to do is to give proofs of

- $g(f(n)) = n$ for all $n \in \mathbb{N}$.
- $f(g(n)) = n$ for all $n \in \mathbb{N}$.
- $f(n + m) = f(n) + f(m)$ for all $n, m \in \mathbb{N}$.